

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Larry Hood et al.

Application No.: Unknown

Filed: Unknown

For: THERMOKERATOPLASTY SYTEM WITH A POWER
SUPPLY THAT CAN DETERMINE A WET OR DRY
CORNEA

Examiner: Unknown

Art Group: Unknown

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

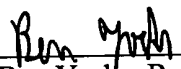
In accordance with Applicant's duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97-1.98, Applicant submits the enclosed Form PTO/SB/08A, along with copies of the documents listed therein.

It is hereby stated that this Information Disclosure Statement is being filed prior to the issuance of the initial Office Action, and therefore, no petition or fee is required. However, in the event a petition is needed for consideration of this Information Disclosure Statement, Applicant hereby so petitions. Please charge any fee due to Deposit Account No. 09-0946. An extra copy of the Fee Transmittal is enclosed for Deposit Account charging purposes.

Respectfully submitted,

IRELL & MANELLA LLP

Dated: October 7, 2003


Ben Yorks, Reg. No. 33,609

840 Newport Center Drive
Suite 400
Newport Beach, CA 92660
Telephone: (949) 760-0991

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail with sufficient postage in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 7, 2003.


Wendy Jones

10/7/03
Date

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				C mplete if kn wn	
				Application Numb r	New
				Filing Date	
				First Named Invent r	Larry L. Hood
				Group Art Unit	
				Examiner Name	
Sheet	1	of	3	Attorney Docket Number	155694-0130

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code			
	1.	5,376,089		Smith	12-27-1994	08-02-1993
	2.	5,277,696		Hagen	01-11-1994	10-13-1992
	3.	5,413,574		Fugo	05-09-1995	09-04-1992
	4.	5,437,657		Epstein	08-01-1995	09-02-1992
	5.	5,480,398		Eggers	01-02-1996	05-01-1992
	6.	5,261,906		Pennino et al.	11-16-1993	12-09-1991
	7.	5,346,491		Oertli	09-13-1994	11-07-1991
	8.	5,217,459		Kamerling	06-08-1993	08-27-1991
	9.	5,312,401		Newton et al.	05-17-1994	07-10-1991
	10.	5,190,517		Zieve et al.	03-02-1993	06-06-1991
	11.	5,779,696		Berry et al.	07-14-1998	07-23-1990
	12.	5,195,954		Schnepp-Pesch et al.	03-23-1993	06-26-1990
	13.	5,071,418		Rosenbaum	12-10-1991	05-16-1990
	14.	5,025,811		Dobrogowski et al.	06-25-1991	02-16-1990
	15.	5,174,304		Latina et al.	12-29-1992	02-16-1990
	16.	6,099,522		Knopp et al.	08-08-2000	02-06-1989
	17.	4,988,334		Hornlein et al.	01-29-1991	05-09-1988
	18.	4,907,585		Schachar	03-13-1990	12-03-1987
	19.	5,035,695		Weber, Jr. et al.	07-30-1991	11-30-1987
	20.	4,931,047		Broadwin et al.	06-05-1990	09-30-1987
	21.	5,015,227		Broadwin et al.	05-14-1991	09-30-1987
	22.	4,898,169		Norman et al.	02-06-1990	05-08-1987
	23.	4,747,820		Hornlein et al.	05-31-1988	04-09-1986
	24.	5,054,906		Lyons, Jr.	10-08-1991	01-17-1986
	25.	4,976,709		Sand	12-11-1990	09-27-1985
	26.	5,137,530		Sand	08-11-1992	09-27-1985
	27.	4,633,870		Sauer	01-06-1987	06-26-1985
	28.	4,729,372		L'Esperance, Jr.	03-08-1988	06-06-1985
	29.	4,739,759		Rexroth et al.	04-26-1988	02-26-1985
	30.	4,798,204		L'Esperance, Jr.	01-17-1989	11-17-1983
	31.	4,593,691		Lindstrom et al.	06-10-1986	07-13-1983
	32.	4,590,934		Malis et al.	05-27-1986	05-18-1983
	33.	4,500,832		Mickiewicz	02-19-1985	02-28-1983
	34.	4,461,294		Baron	07-24-1984	01-20-1982
	35.	5,188,125		Kilmer et al.	02-23-1993	01-04-1982

Examiner: _____ Date Considered: _____

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if known	
				Application Number	New
				Filing Date	
				First Named Inventor	Larry L. Hood
				Group Art Unit	
				Examiner Name	
Sheet	3	of	3	Attorney Docket Number	155694-0130

	54.	"Anatomy of the Eye", Physiology of the Eye, Chapter 1, pp. 3, 6-8, 21-22
	55.	MENDEZ, Antonio "Radiofrequency for Hyperopia Treatment", Beverly Hills presentation, August 28, 1993
	56.	VOYLES, Randel et al. "Biophysics of Electrosurgery", Excerpts from American College of Surgeons Meeting, San Francisco, CA, October 1993
	57.	DOSS, James D., et al. "An Electrothermal Technique for the Alteration of Corneal Curvature", Los Alamos Scientific Laboratory Informal Report LA-7155-MS, February 1978, pp. 1-6
	58.	FUGO, Richard J. "Bipokeratoplasty: Using Cautery to Advantage", Ocular Surgery News, February 1993, pp. 101 & 113
	59.	TREMBLY, Stuart B. "Combined Microwave Heating and Surface Cooling of the Cornea", Transactions of Biomedical Engineering, Volume 38, No. 1, January 1991, pp. 85-91
	60.	FELDMAN, Sandy T., et al. "Regression of Effect Following Radial Thermokeratoplasty in Humans", Refractive and Corneal Surgery, Volume 5, September/October 1989, pp. 289-291
	61.	MCDONNELL, Peter J. "Radial Thermokeratoplasty for Hyperopia". Refractive and Corneal Surgery, Volume 5, January/ February 1989, pp. 50-54
	62.	DOSS, James D., et al. "A Technique for the Selective Heating of Corneal Stroma", Contact Lens, Volume 6, Number 1, pp.13-17

Examiner: _____ Date Considered: _____

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				C mplete if kn wn	
				Applicati n Number	New
				Filing Date	
				First Named Invent r	Larry L. Hood
				Group Art Unit	
				Examiner Name	
Sheet	2	of	3	Attorney Docket Number	155694-0130

	36.	4,419,747		Jordan	12-06-1983	09-14-1981
	37.	4,386,608		Ehrlich	06-07-1983	07-15-1981
	38.	4,381,007		Doss	04-26-1983	04-30-1981
	39.	4,301,802		Poler	11-24-1981	03-17-1980
	40.	4,347,842		Beale	09-07-1982	02-15-1980
	41.	4,805,616		Pao	02-21-1989	02-05-1979
	42.	4,674,499		Pao	06-23-1987	02-05-1979
	43.	4,326,529		Doss et al.	04-27-1982	05-26-1978
	44.	3,963,030		Newton	06-15-1976	04-16-1973
	45.	3,699,967		Anderson	10-24-1972	04-30-1971
	46.	3,595,239		Petersen	07-27-1973	04-04-1969
	47.	3,776,230		Neefe	12-04-1973	05-16-1966

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code			
	48.		WO/90/12618		Raden	11-01-1990	04-21-1989
	49.						

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	50.	H. TREUMER ET AL., "Unipolar Scleral Diathermy for Changing Corneal Refraction," Department for Ophthalmology, University Eye Clinic Kiel
	51.	H. TREUMER ET AL., "Temperature Controlled Bipolar Diathermy of the Sclera for Controlled Refractive Changes of the Cornea," Department of Ophthalmology University Eye Clinic Kiel, Fortschritte Ophthalmol (1989) 86: pgs. 584-588
	52.	MCDONNEL, Peter, et al. "Radial Thermokeratoplasty or Hyperopia," Refractive & Corneal Surgery, Vol. 5, Jan./Feb. 1989, pp. 50-54
	53.	SEBBEN, Jack E. "Cutaneous Electrosurgery", pp. 14-15, 19-30, 42-43, 48, 52-55, 62-62, 77-83, 100-105.

Examiner: _____ Date Considered: _____

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.